



#6 CIP 1714

ATTORNEY'S DOCKET NO: N00410/70000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence F. Hancock, et al.  
Serial No: 09/997,999  
Confirmation No.: 3909  
Filed: November 30, 2001  
For: LUMINESCENT POLYMER PARTICLES  
  
Examiner: Not Yet Assigned  
Art Unit: 1714

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 14 day of March, 2002.

Karen M. O'Angelo  
Signature

Commissioner for Patents  
Washington, D. C. 20231

Sir:

Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement (IDS) with PTO form 1449
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is believed to be necessary. If this is insufficient, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
*Lawrence F. Hancock, et al., Applicants*

By: [Signature]  
Timothy J. Oyer, Reg. No. 36,628  
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Boston, MA 02210  
Telephone (617) 720-3500

Docket No. N00410/70000  
Dated: March 14, 2002



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*Karen M. DiAngelo*  
Signature

Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

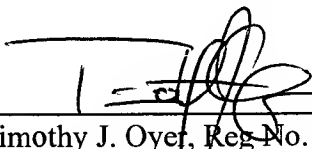
An early and favorable action is hereby requested.

Serial No.: 09/997,999  
Confirmation No.: 3909

- 3 -

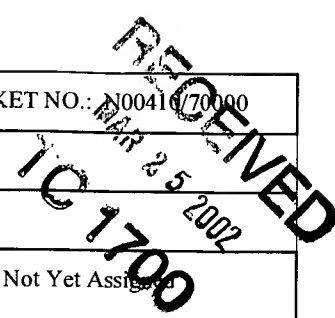
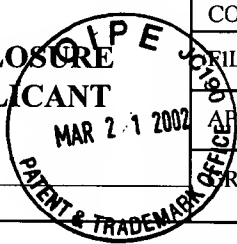
Art Unit: 1714

Respectfully submitted,  
*Hancock et al., Applicants*

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Docket No. N00410/70000  
Dated: March 14, 2002  
**xndd**

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|--|---|--------------------------------|---|--------------------------------|--|
| FORM PTO-1449/A and B (Modified)                         |   | APPLICATION NO.: 09/997,999    |   | ATTY. DOCKET NO.: N00416/70000 |  |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   | CONFIRMATION NO.: 3909         |   |                                |  |
|  |   | FILING DATE: November 30, 2001 |   |                                |  |
|  |   | APPLICANT: Hancock, et al.     |   |                                |  |
|  |   | GROUP ART UNIT: 1714           |   | EXAMINER: Not Yet Assigned     |  |
| Sheet  | 1 | of                             | 3 |                                |  |



**U.S. PATENT DOCUMENTS**

| Examiner's<br>Initials# | Cite<br>No. | U.S. Patent Document |              | Name of Patentee or Applicant of Cited<br>Document | Date of Publication or of issue<br>of Cited Document<br>MM-DD-YYYY |
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| Examiner's Initials# | Cite No | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.  | Translation (Y/N) |
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|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]